



UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT
10/041,122	01/08/2002	Juha T. Rantala

COMMISSIONER FOR PATENTS
PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

KEY DOCKET NUMBER
GO4-US

FORMALITIES SECTION NO. 4512

OC000000007455914

Date Mailed

2002

Gregory R. Muir
579 Anza St.
Mountain View, CA 94041



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

A copy of this notice MUST be returned with the reply.

C. D. Harrison

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 HNDRI 00000113 502188 10041122
01 FC:205 65.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the application of: **RANTALA et al** Attorney Docket No.: G04-US

Serial Number: **10/041,122** Group Art Unit: --

Filed: **01/08/2002** Examiner: --

For: **METHODS AND COMPOUNDS FOR MAKING COATINGS, WAVEGUIDES AND OTHER OPTICAL DEVICES**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

Date: April 22, 2002

Dear Sir or Madam:

A Notice to File Missing Parts of Non-Provisional Application was mailed on February 11, 2002 (copy enclosed). In the received Notice it was indicated that the Oath or Declaration was missing. A properly signed Declaration in compliance with 37 CFR 1.63 is enclosed herewith, along with a Request for One Month Extension of Time.

Please debit our Deposit Account # 502188 in the total amount of \$120.00 which covers the \$65 fee due for the late filing of the Declaration (Small Entity) as well as a fee of \$55.00 for the One Month Extension of Time.

Respectfully submitted,

GuideOptics, Inc.
2130 Gold St., Suite 250
P.O. Box 1160
Alviso, California 95002

Tel:(408) 273-4514
Fax:(408) 273-4609

GRM:glh


Gregory R. Muir
Attorney for Applicants
Registration No. 35,293

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

RANTALA et al.

Attorney Docket No.: G04-US

Serial Number:

10/041,122

Group Art Unit: --

Filed:

01/08/2002

Examiner: --

For: METHODS AND COMPOUNDS FOR MAKING COATINGS, WAVEGUIDES AND OTHER OPTICAL DEVICES

PETITION FOR ONE MONTH EXTENSION OF TIME

Assistant Commissioner of Patents
Washington, D.C. 20231

Date: April 22, 2002

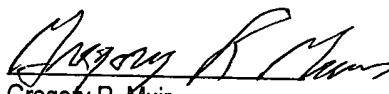
Dear Sir or Madam:

The undersigned hereby petitions for a one-month extension of time in which to file a response to Notice to File Missing Parts dated February 11, 2002.

As requested in our Response to Notice to File Missing Parts of Application, please debit our Deposit Account #502188 for the total amount of \$120.00 which covers the \$65.00 fee due for the late filing of the Declaration (Small Entity) as well as a fee of \$55.00 for the One-Month Extension of time (Small Entity).

In the event any additional fees are due, please charge our Deposit Account No. 502188.

Respectfully submitted,



Gregory R. Muir
Attorney for Applicants
Registration No. 35,293

GuideOptics, Inc.
2130 Gold St., Suite 250
P.O. Box 1160
Alviso, California 95002

Tel: (408) 273-4514
Fax: (408) 273-4609

04/24/2002 HNDOR1 00000113 502188 10041122
02 FC:215 55.00 CH



04-23-02

MP\$

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 120.00

Complete if Known

Application Number	10/041,122
Filing Date	01/08/2002
First Named Inventor	RANTALA et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	GO4-US

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 502188
Deposit Account Name: GUIDEOPTICS, INC.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims: -20** = X =
Independent Claims: -3** = X =
Multiple Dependent: =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	55.00
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 120.00

SUBMITTED BY

Name (Print/Type): GREGORY R. MUIR

Registration No. 35,293
(Attorney/Agent)

Complete (if applicable)

Telephone: (408)273-4514

Signature: *Gregory R. Muir*

Date: 04/22/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.